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**Mrowka, Tomasz** (1-MIT); **Rollin, Yann** (4-LNDIC)

**Legendrian knots and monopoles. (English summary)**

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In [P. B. Kronheimer and T. S. Mrowka, *Invent. Math.* **130** (1997), no. 2, 209–255; [MR1474156 \(98h:57058\)](#)] the Seiberg-Witten invariants were defined for a 4-manifold  $M$  with boundary  $Y$  having a fixed contact structure  $\xi$ . This invariant is a map  $\text{sw}_{m,\xi}$  from  $\text{Spin}^c(M, \xi)$  to  $\mathbb{Z}$  where  $\text{Spin}^c(M, \xi)$  is the set of pairs  $(\mathfrak{s}, h)$ , where  $\mathfrak{s}$  is a  $\text{Spin}^c$  structure on  $M$  and  $h$  is an isomorphism between  $\mathfrak{s}|_Y$  and the canonical  $\text{spin}^c$  structure associated to  $\xi$ . The first result in this paper generalizes a slice Bennequin type inequality originally proven for Stein surfaces in [S. Akbulut and R. Matveyev, *Turkish J. Math.* **21** (1997), no. 1, 47–53; [MR1456158 \(98d:57053\)](#)] and [P. Lisca and G. Matić, *Topology Appl.* **88** (1998), no. 1-2, 55–66; [MR1634563 \(99f:57037\)](#)]. More specifically, if  $K$  is a Legendrian knot in the contact manifold  $(Y, \xi)$  and  $\Sigma$  is a compact connected oriented surface in  $M$  with boundary  $K$ , then for every element  $(\mathfrak{s}, h)$  in  $\text{Spin}^c(M, \xi)$  for which the Seiberg-Witten invariant is nonzero the inequality  $\chi(\Sigma) + \text{tb}(K) + |r(K)| \leq 0$  holds, where  $\text{tb}(K)$  measures the contact framing with respect to the framing induced by the homology class of  $\Sigma$  and  $r(K)$  is a relative Chern class determined by  $(\mathfrak{s}, h)$  evaluated on  $\Sigma$ . As a corollary of this result one sees that the Seiberg-Witten invariants of a manifold  $M$  with overtwisted contact structure  $\xi$  on its boundary are identically zero. This in turn gives another proof of Eliashberg’s result that a weakly fillable contact structure is not overtwisted. In fact, this result seems to generalize Eliashberg’s result in that you do not really need a symplectic filling but merely a 4-manifold bounding your contact manifold so that the relative Seiberg-Witten invariant is not identically zero; however, it is currently unknown if there is such a 4-manifold that does not come from a symplectic filling.

The main result is proven using the following excision result for the Seiberg-Witten invariants. Let  $M, Y$ , and  $\xi$  be as above and let  $Z$  be a symplectic cobordism from  $(Y, \xi)$  to  $(Y', \xi')$  such that a neighborhood of  $(Y, \xi)$  in  $Z$  is symplectomorphic to the symplectization of  $\xi$  and the map induced by inclusion from  $H^1(Z, Y')$  to  $H^1(Y)$  is the zero map. If  $M'$  is the manifold obtained by gluing  $M$  and  $Z$  along  $Y$  then  $\text{sw}_{M,\xi} \circ j = \pm \text{sw}_{M',\xi'}$  where  $j$  is the canonical map from  $\text{Spin}^c(M, \xi)$  to  $\text{Spin}^c(M', \xi')$ . With this result in hand the main theorem is proven by attaching a symplectic 2-handle to  $M$  along  $K$  to obtain a closed surface  $\Sigma'$  in the resulting manifold. Then, thanks to the excision result, the adjunction inequality from [P. B. Kronheimer and T. S. Mrowka, *op. cit.*] completes the proof.

Reviewed by *John B. Etnyre*

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*Note: This list reflects references listed in the original paper as accurately as possible with no attempt to correct errors.*