

THE BILINEAR BOCHNER-RIESZ PROBLEM

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Harmonic Analysis, PDEs and Geometry

joint with Loukas Grafakos, Lixin Yan and Liang Song

- 1 THE LINEAR BOCHNER-RIESZ MULTIPLIERS
- 2 EXTENSION TO A BILINEAR SETTING
- 3 BOUNDEDNESS IN THE BANACH TRIANGLE $p_1, p_2, p \in (1, \infty)$
 - $L^2(\mathbb{R}^n) \times L^2(\mathbb{R}^n)$ to $L^1(\mathbb{R}^n)$ boundedness
 - $L^2(\mathbb{R}^n) \times L^\infty(\mathbb{R}^n)$ to $L^2(\mathbb{R}^n)$ boundedness
 - $L^1(\mathbb{R}^n) \times L^\infty(\mathbb{R}^n)$ to $L^1(\mathbb{R}^n)$ boundedness
- 4 BOUNDEDNESS OUTSIDE THE BANACH TRIANGLE
- 5 INTERPOLATION OF THE DIFFERENT ESTIMATES

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THE LINEAR BOCHNER-RIESZ MULTIPLIERS

Let $\Omega = B(0, 1)$ be the closed unit ball of $\mathbb{R}^n$ ($n \geq 2$). For $\delta > 0$, the Bochner-Riesz multiplier is then defined by

$$R_\delta(f)(x) := \int_{\Omega} e^{ix \cdot \xi} \widehat{f}(\xi) (1 - |\xi|^2)_+^\delta d\xi.$$

Idea : [Fefferman, 1971] the ball multiplier is not bounded in any L^p -space ($p \neq 2$).

So some extra regularity near the set of singularity (measured by δ) is required to improve the integrability.

Motivation : convergence of Fourier series or truncated Fourier transforms.

Question : Boundedness in L^p of such multipliers ? Fix an exponent $p \in (1, \infty)$, for which exponent δ the multiplier R_δ is bounded on L^p ?

Bochner-Riesz conjecture : For $p \in (1, \infty)$, R_δ is bounded on L^p if and only if

$$n \left| \frac{1}{p} - \frac{1}{2} \right| < \delta + \frac{1}{2} \quad \text{and} \quad \delta \geq 0.$$

- Herz and Fefferman have independently proved that the condition is necessary.
- Carleson and Sjölin have solved the conjecture for $n = 2$ (1972).
- For $n \geq 3$, Some progress using restriction estimates, bilinear restriction estimates, ... still open ! The conjecture is proved for a range $p \in (1, q_0] \cup [q'_0, \infty)$ with q_0 more and more close to 2.

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Naturally, we have the bilinear version :

$$R_\delta(f, g)(x) := \int_{B_{2n}(0,1)} e^{ix \cdot (\xi + \eta)} \widehat{f}(\xi) \widehat{g}(\eta) (1 - |\xi|^2 - |\eta|^2)^\delta d\xi d\eta.$$

It corresponds to the bilinear Fourier multiplier, associated to the symbol $(\xi, \eta) \rightarrow (1 - |\xi|^2 - |\eta|^2)_+^\delta$ having a singularity along the sphere of dimension $2n - 1$.

Comparison with other bilinear Fourier multipliers :

- Hörmander class of bilinear pseudodifferential operator, bilinear Calderón-Zygmund operators $\implies$ singularity at one point.
- Bilinear Hilbert transform ($n = 1$) $\implies$ singularity along a line.
- Disc multiplier ($n = 1$) $\implies$ singularity along the circle.
- Twisted paraproducts ($n = 2$) $\implies$ singularity along a plane.

Here : take advantage of the invariance by rotations (rotations in $\mathbb{R}^{2n}$ and rotations in $\mathbb{R}^n$) to compensate the high-dimension of the singular subset.

Use the non-vanishing curvature to improve some decays.

Question : take $p_1, p_2 \in (1, \infty)$ and p given by Hölder scaling

$$\frac{1}{p} = \frac{1}{p_1} + \frac{1}{p_2}$$

then for wich δ the bilinear Bochner-Riesz multiplier R_δ is bounded from $L^{p_1}(\mathbb{R}^n) \times L^{p_2}(\mathbb{R}^n)$ to $L^p(\mathbb{R}^n)$?

Difficulties :

- high dimension of the singular set ;
- bi-radial character of the symbol is not preserved by duality.

For R_δ^{*1} , its first adjoint operator given by

$$\langle R_\delta(f, g), h \rangle = \langle f, R_\delta^{*1}(h, g) \rangle$$

is the bilinear Fourier multiplier given by the symbol

$$(\xi, \eta) \rightarrow (1 - |\xi + \eta|^2 - |\eta|^2)_+^\delta$$

which is not bi-radial.

THE CASE $n = 1$

THEOREM

On $\mathbb{R}$, the Bochner-Riesz operator is bounded from $L^{p_1}(\mathbb{R}) \times L^{p_2}(\mathbb{R})$ into $L^p(\mathbb{R})$ in the following situations :

- *Strict local L^2 -case : $2 < p_1, p_2, p' < \infty$ and $\delta \geq 0$.*
- *Endpoint cases : $\{p_1, p_2, p'\} = \{2, 2, \infty\}$ and $\delta > 0$.*
- *Banach triangle case : $1 \leq p_1, p_2, p' \leq \infty$ and $\delta > 0$.*

Proof :

- for the local L^2 -case, consequence of [Grafakos - Li] on the disc multiplier.
- the two other cases are a consequence of a spherical decomposition and [B. - Germain] (on bilinear Fourier multipliers with a symbol singular along a curve).

These results are optimal in the strict local L^2 case, in the endpoint cases, and on the boundary of the Banach triangle.

THE CASE $n \geq 2$

Necessary conditions for boundedness :

$$\delta > n\left(\frac{1}{p} - 1\right) - \frac{1}{2} \quad \text{and} \quad \delta \geq 0.$$

Sufficient condition :

$$\delta > \frac{2n-1}{2} = n - \frac{1}{2}.$$

Proof : Non-Stationnary phase estimates (coming from the curvature of the sphere) to get pointwise estimates (with asymptotic formulas) of the bilinear kernel.

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We split the symbol as

$$m_\delta := (1 - |\xi|^2 - |\eta|^2)_+^\delta = \sum_{j \geq 0} 2^{-j\delta} m_{j,\delta} \quad (1)$$

with bi-radial symbols $m_{j,\delta}(\xi, \eta) = m_{0,j,\delta}(|\xi|, |\eta|)$, where

$$m_{0,j,\delta}(t, s) = [2^j(1 - t^2 - s^2)]^\delta \chi(2^j(1 - t^2 - s^2)),$$

and thus $m_{j,\delta}$ are L^∞ -normalized, supported in the annulus $1 - |(\xi, \eta)| \simeq 2^{-j}$ and are regular at the scale 2^{-j} .

Boundedness for R_δ is almost equivalent to a uniform boundedness (with respect to j) of the bilinear multipliers associated to $m_{j,\delta}$.

The elementary symbols $m_{j,\delta}$ still respect the bi-radial property. The corresponding bilinear multiplier as a bilinear kernel $K_{j,\delta}$ satisfying :

$$|K_{j,\delta}(y, z)| \lesssim 2^{-j} \left(1 + 2^{-j}(|y| + |z|)\right)^{-M}.$$

Off-diagonal decays $\implies$ “localization property” at the scale 2^j in the physical space.

Observation : a factor 2^{-2nj} would imply boundedness in Hölder scaling (and corresponds to a singularity at only one point in the frequency space).

THE FINITE SPEED PROPAGATION PROPERTY

LEMMA

Let $1 \leq p, q \leq \infty$ and $1/r = 1/p + 1/q < \infty$. Let T be a bounded bilinear operator from $L^{p_1}(\mathbb{R}^n) \times L^{q_1}(\mathbb{R}^n) \rightarrow L^s(\mathbb{R}^n)$ for some s, p_1, q_1 satisfying $0 < r \leq s$, $1 \leq p_1 \leq p$ and $1 \leq q_1 \leq q$ with a **finite speed propagation property** : its bilinear kernel K_T satisfies

$$\text{supp} K_T \subseteq \{(x, y, z) : |x - y| < \rho, |x - z| < \rho\}$$

for some $\rho > 0$. Then

$$\|T\|_{L^p \times L^q \rightarrow L^r} \lesssim \rho^{n(\frac{1}{p_1} + \frac{1}{q_1} - \frac{1}{s})} \|T\|_{L^{p_1} \times L^{q_1} \rightarrow L^s}.$$

Up to a small (as we want) loss in the power of ρ , we may allow a fast decreasing kernel as previously for the “localized operators” (and no need to have an exact support condition).

Properties of bi-radial functions :

PROPOSITION

Let $m(\xi, \eta) = m_0(|\xi|, |\eta|)$ be a bi-even symbol m_0 . Then if m_0 has a spectrum included into $[-L, L]^2$ then m has a spectrum included into $[-L, L]^{2n}$.

Two different approaches :

- - Using some computations involving Bessel functions.
- - Use the finite speed of propagation property of the Euclidean Laplacian and decompose m with the Wave propagators ($e^{it\sqrt{\Delta}}$ propagates at the speed t).

THE $L^2(\mathbb{R}^n) \times L^2(\mathbb{R}^n) \rightarrow L^1(\mathbb{R}^n)$ BOUNDEDNESS

THEOREM

The bilinear multiplier R_δ is bounded from $L^2(\mathbb{R}^n) \times L^2(\mathbb{R}^n)$ to $L^1(\mathbb{R}^n)$ if and only if $\delta > 0$.

Proof : The bilinear symbol satisfies for $\alpha \in (0, \delta)$

$$\sup_u \|(1 - u^2 - \cdot^2)^\delta\|_{\dot{W}^{1+\alpha,1}} < \infty.$$

Decomposition of the symbol using bilinear Fourier series
 $\implies$ Decomposition of R_δ as a tensorial product of L^2 -bounded linear Fourier multipliers.

Necessary conditions by using dilations and modulation (the ball may be transformed into any half-space).

Attention : No symmetry (invariance by rotation) under duality so no extension to the local- L^2 case

THE $L^2(\mathbb{R}^n) \times L^\infty(\mathbb{R}^n) \rightarrow L^1(\mathbb{R}^n)$ BOUNDEDNESS

THEOREM

The bilinear multiplier R_δ is bounded from $L^2(\mathbb{R}^n) \times L^\infty(\mathbb{R}^n)$ to $L^2(\mathbb{R}^n)$ as soon as $\delta > \frac{n-1}{2}$.

Proof : Spherical decomposition to reduce the estimate to some “localized” operators.

Use $L^2(\mathbb{R}^n) \times L^2(\mathbb{R}^n) \rightarrow L^2(\mathbb{R}^n)$ estimates to take advantage of the geometry of the sphere in the frequency space.

Level set estimate :

$$\sup_{\xi} |\{\eta, |(\xi - \eta, \eta)| \simeq 1 - \epsilon\}| \lesssim \epsilon$$

because $n \geq 2$.

THE $L^1(\mathbb{R}^n) \times L^\infty(\mathbb{R}^n) \rightarrow L^1(\mathbb{R}^n)$ BOUNDEDNESS

THEOREM

The bilinear multiplier R_δ is bounded from $L^1(\mathbb{R}^n) \times L^\infty(\mathbb{R}^n)$ into $L^1(\mathbb{R}^n)$ as soon as $\delta > \frac{n}{2}$.

Proof : Spherical decomposition to reduce the estimate to some “localized” operators.

Use $L^1(\mathbb{R}^n) \times L^2(\mathbb{R}^n) \rightarrow L^1(\mathbb{R}^n)$ estimates which come from (Bernstein inequality) $L^2(\mathbb{R}^n) \times L^2(\mathbb{R}^n) \rightarrow L^1(\mathbb{R}^n)$ estimates.

Then use the previous argument based on the regularity of the elementary symbols.

Remarks : All these results can be extended to any bi-radial symbol $m(\xi, \eta) = m_0(|\xi|, |\eta|)$ with the following properties

- m_0 is compactly supported
- Some regularity :

$$\sup_u \|m_0(u, \cdot)\|_{\dot{W}^{1+\delta+,1}} + \sup_v \|m_0(\cdot, v)\|_{\dot{W}^{1+\delta+,1}} < \infty.$$

- A sharp control on the size : for a small parameter $\epsilon > 0$

$$\sup_{\xi} \left| \left\{ \eta, |m(\xi - \eta, \eta)| \simeq \epsilon^\delta \right\} \right| \lesssim \epsilon.$$

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Outside the Banach triangle, we use the following

PROPOSITION

Let $1 \leq q < \infty$ and $\delta = \sigma + i\tau$. If $0 < s < \sigma + \frac{1}{q}$, then $(1 - |x|^2)_+^\delta \in W^{s,q}(\mathbb{R}^n)$. Moreover,

$$\left\| (1 - |x|^2)_+^\delta \right\|_{W^{s,q}(\mathbb{R}^n)} \lesssim e^{c|\tau|}.$$

Proof : it relies on sharp estimates involving oscillatory integrals and Bessel functions.

Asymptotic formulas of Bessel functions.

Or can be obtained by using the spherical decomposition and interpolation inequalities.

The linear restriction-extension operator

$$\begin{aligned}\mathcal{R}_\lambda f(x) &:= \lambda^{n-1} \int_{\mathbb{S}^{n-1}} e^{i\lambda x \cdot \omega} \widehat{f}(\lambda \omega) d\omega \\ &:= \int_{\lambda \mathbb{S}^{n-1}} e^{ix \cdot \omega} \widehat{f}(\omega) d\omega.\end{aligned}$$

Decomposition : Let $m(\xi, \eta) := m_0(|\xi|, |\eta|)$, then

$$T_m(f, g)(x) = \int_0^\infty \int_0^\infty m_0(\lambda_1, \lambda_2) \mathcal{R}_{\lambda_1, \lambda_2}(f, g)(x) d\lambda_1 d\lambda_2,$$

with

$$\mathcal{R}_{\lambda_1, \lambda_2}(f, g)(x) := \mathcal{R}_{\lambda_1} f(x) \mathcal{R}_{\lambda_2} g(x).$$

BOUNDEDNESS OF $\mathcal{R}_1$

In the square $[0, 1] \times [0, 1]$, define

$$A(n) = \left(\frac{n+1}{2n}, 0\right) := (a_n, 0), \quad B(n) = \left(\frac{n+1}{2n}, \frac{n-1}{2n} - \frac{n-1}{n^2+n}\right),$$

$$A'(n) = \left(1, \frac{n-1}{2n}\right), \quad B'(n) = \left(\frac{n+1}{2n} + \frac{n-1}{n^2+n}, \frac{n-1}{2n}\right) := (b_n, \frac{n-1}{2n}).$$

and $\Delta(n)$ be the closed pentagon with vertices $A(n), B(n), B'(n), A'(n), (1, 0)$ from which closed line segments $[A(n), B(n)], [A'(n), B'(n)]$ are removed. Namely,

$$\Delta(n) = \left\{ \left(\frac{1}{p}, \frac{1}{q}\right) \in [0, 1] \times [0, 1] : \begin{array}{l} 0 \leq \frac{1}{q} \leq \frac{1}{p} \leq 1, \quad \frac{1}{p} - \frac{1}{q} \geq \frac{2}{n+1}, \\ \frac{1}{p} > \frac{n+1}{2n}, \quad \frac{1}{q} < \frac{n-1}{2n} \end{array} \right\}$$

PROPOSITION (BAK)

The linear restriction-extension operator $\mathcal{R}_1$ is bounded from $L^p(\mathbb{R}^n)$ to $L^q(\mathbb{R}^n)$ if and only if $(1/p, 1/q) \in \Delta(n)$.

Proof : Closely related to the restriction Fourier estimate (Tomas-Stein for $q = p'$)

Use oscillatory integral estimates of Hörmander.

Can be extended to estimate more general operators, as Bochner-Riesz multipliers of negative order.

Remark : $\mathcal{R}_1$ cannot map into L^2 .

PROPOSITION

(i) Let $1/s = 1/q_1 + 1/q_2$, $0 < s \leq \infty$ and let $(1/p_1, 1/q_1)$ and $(1/p_2, 1/q_2)$ be both in $\Delta(n)$.

$$\|\mathcal{R}_{\lambda_1, \lambda_2}(f, g)\|_s \lesssim \lambda_1^{n(\frac{1}{p_1} - \frac{1}{q_1}) - 1} \lambda_2^{n(\frac{1}{p_2} - \frac{1}{q_2}) - 1} \|f\|_{p_1} \|g\|_{p_2}.$$

(ii) In the endpoint case $s = 2$ and $p_1 = p_2 = 1$ we have ,

$$\|\mathcal{R}_{\lambda_1, \lambda_2}(f, g)\|_2 \lesssim \lambda_1^{n - \frac{3}{2}} \lambda_2^{\frac{n-1}{2}} \|f\|_1 \|g\|_1,$$

assuming $\lambda_1 \ll \lambda_2$.

Proof : (i) comes from Hölder inequality and linear estimates

(ii) can be directly obtained using Plancherel's inequality and some geometric properties of the spheres.

CONSEQUENCE FOR BILINEAR BOCHNER-RIESZ MULTIPLIERS

$$a_n := \frac{n+1}{2n}, \quad \text{and} \quad b_n := \frac{n+1}{2n} + \frac{n-1}{n^2+n}.$$

THEOREM

For $1 \leq p_1, p_2 < 2n/(n+1)$ and $1/p = 1/p_1 + 1/p_2$, define

$$\alpha(p_1, p_2) = \begin{cases} \frac{4}{n+1}, & \text{if } \left(\frac{1}{p_1}, \frac{1}{p_2}\right) \in (a_n, b_n) \times (a_n, b_n) \\ \frac{2}{n+1} - \frac{n-1}{2n} + \frac{1}{p_2}, & \text{if } \left(\frac{1}{p_1}, \frac{1}{p_2}\right) \in (a_n, b_n) \times [b_n, 1] \\ \frac{2}{n+1} - \frac{n-1}{2n} + \frac{1}{p_1}, & \text{if } \left(\frac{1}{p_1}, \frac{1}{p_2}\right) \in [b_n, 1] \times (a_n, b_n) \\ \frac{1}{p_1} + \frac{1}{p_2} - \frac{n-1}{n}, & \text{if } \left(\frac{1}{p_1}, \frac{1}{p_2}\right) \in [b_n, 1] \times [b_n, 1]. \end{cases}$$

If $\delta > n\alpha(p_1, p_2) - 1$, then the bilinear Bochner-Riesz means operator R_δ is bounded from $L^{p_1}(\mathbb{R}^n) \times L^{p_2}(\mathbb{R}^n)$ into $L^p(\mathbb{R}^n)$.

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Be careful : the operator R_δ depends on δ !

Idea : To interpolate, we get around this technical difficulty by using the spherical decomposition and use the “normalized elementary operators”.

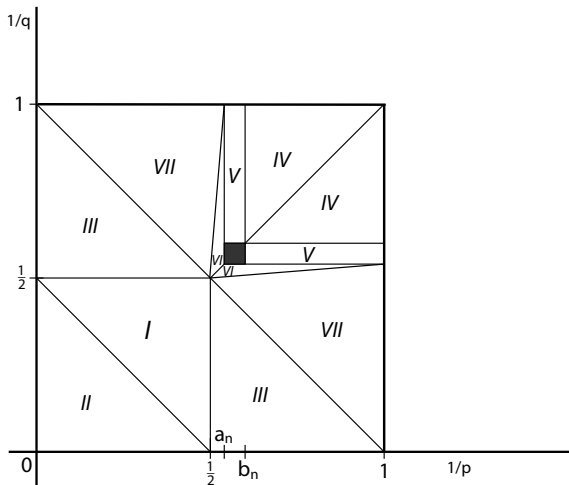


Figure : Exponents $(\frac{1}{p}, \frac{1}{q})$ for $p, q \geq 1$. Here $a_n = \frac{n+1}{2n}$, $b_n = \frac{n+1}{2n} + \frac{n-1}{n^2+n}$

PROPOSITION (LOCAL- L^2 CASE)

If $p, q, r' \in [2, \infty)$ with $\frac{1}{p} + \frac{1}{q} + \frac{1}{r'} = 1$ (region I), then R_δ is bounded from $L^p(\mathbb{R}^n) \times L^q(\mathbb{R}^n)$ into $L^{r'}(\mathbb{R}^n)$ if $\delta > \frac{n-1}{r'}$.

PROPOSITION (BANACH CASE)

- (A) *If $p, q \in [2, \infty)$ and $r' < 2$ with $\frac{1}{p} + \frac{1}{q} + \frac{1}{r'} = 1$ (region II), then R_δ is bounded from $L^p(\mathbb{R}^n) \times L^q(\mathbb{R}^n)$ to $L^{r'}(\mathbb{R}^n)$ if $\delta > \frac{n-1}{2} + n(\frac{1}{r'} - \frac{1}{2})$.*
- (B) *If $q, r' \in [2, \infty)$ and $p < 2$ with $\frac{1}{p} + \frac{1}{q} + \frac{1}{r'} = 1$ (region III), then R_δ is bounded from $L^p(\mathbb{R}^n) \times L^q(\mathbb{R}^n)$ to $L^{r'}(\mathbb{R}^n)$ if $\delta > n(\frac{1}{2} - \frac{1}{q}) - \frac{1}{r'}$.*

Remaining open questions :

- Improvements near the point $L^\infty(\mathbb{R}^n) \times L^\infty(\mathbb{R}^n) \rightarrow L^\infty(\mathbb{R}^n)$?
Maybe using “restricted weak-type estimates and interpolation” ...
- Optimality of our results ?