

HOMOTOPY GROUPS OF SOME EMBEDDING SPACES

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Based on the joint work with Peter Teichner (MPIM Bonn)

<https://arxiv.org/abs/2105.13032>

- 1 Motivation
- 2 The main result today, and applications
- 3 Metastable homotopy groups

Motivation

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- Recall that a smooth map $K: V \rightarrow M$ is an **embedding** if it is *injective* and at any $v \in V$ the derivative $dK|_v$ is *injective*, and K is **neat** if it is transverse to the boundary and $K(V) \cap @M = K(@V)$.

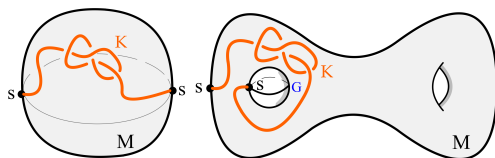
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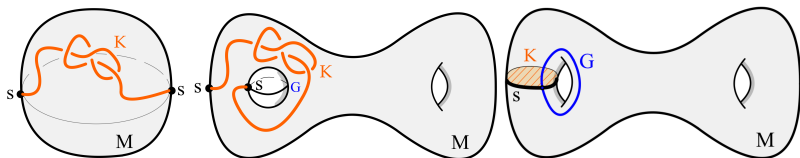
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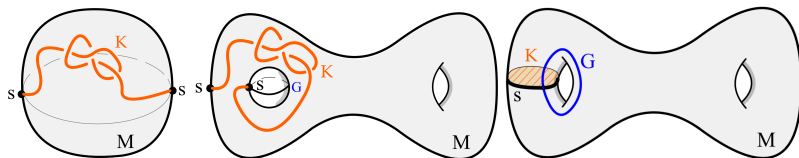
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- For $V = D^k$, **the setting with a dual:** if there exists $G: S^{d-k} \hookrightarrow @M$, such that G has trivial normal bundle and $G \pitchfork \mathfrak{s} = \text{fptg}$. Like pictures 2 and 3!

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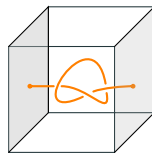
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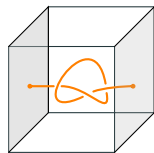
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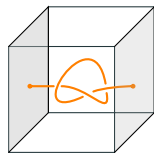
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- Recently, intensively studied is the set of (long) 2-knots in a 4-manifold M :

$${}_0 \mathbf{Emb}_@(\mathbb{D}^2; M)$$

This can be huge – for example, “spinning” a classical knot gives a 2-knot in

$${}_0 \mathbf{Emb}_@(S^2; \mathbb{R}^4) = {}_0 \mathbf{Emb}_@(\mathbb{D}^2; \mathbb{D}^4).$$

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Recall that setting with a dual means: we have a d -manifold M and embedding $s = \textcircled{U}: \mathbb{S}^{k-1} \rightarrow \textcircled{M}$, such that there exists $G: \mathbb{S}^{d-k} \rightarrow \textcircled{M}$ with trivial normal bundle and such that $G \pitchfork s = \{pt\}$.

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For any $1 \leq k \leq d$, in a setting with a dual, any choice of $\{ \cdot \} : D^k \rightarrow M$ leads to an (explicit) homotopy equivalence

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where $X := M \int_G h^{d-k+1}$.

Superscript " means embedded disks are equipped with "push-offs"...

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$$k; d = 1; 3$$

$$k; d = 2; 3$$

The main result today, and applications

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2. There is a short exact sequence of groups (sets if $d - 2\ell - 1 = 0$):

$$\mathbb{Z}[{}_1X] \xrightarrow{\text{hi}} \text{rel}_{\cdot; d} \text{dax}({}_d \cdot (X)) \xrightleftharpoons[\text{Dax}]{@r} {}_{d-2\ell-1}(\text{Emb}_@(D^\ell; X); u) \xrightarrow{p_u} {}_{d-1}X;$$

where the **invariant Dax** is defined on the image of the **realisation map @r** and is its explicit inverse, and $\text{rel}_{1; d} := \cdot$; and $\text{rel}_{\cdot; d} := \text{hg} \quad (1)^d \cdot g : g \in \mathcal{Z}({}_1X)$ if $\ell \geq 2$

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- Therefore, we have (after a bit more work to account for ℓ -augmentations) a (more or less) explicit description of ${}_n\text{Emb}_@(D^k; M)$ for $n \leq d - 2k$ and $d \geq 4$, assuming there is a dual for the boundary condition $s: S^{k-1} \hookrightarrow M$.

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- We make this more explicit, and compute many classes of examples in K' 21.

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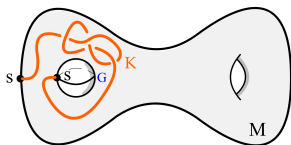
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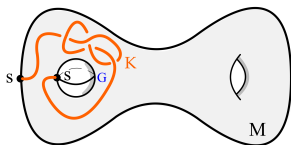
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$k = d$: Recovers a theorem (and proof) of Cerf '68: There is a homotopy equivalence $\mathbf{Di}_@^+(\mathbb{D}^d) \simeq \mathbf{Emb}_@(\mathbb{D}^{d-1}; \mathbb{D}^d)$. In particular,

$${}_0\mathbf{Di}_@^+(\mathbb{D}^4) = {}_1(\mathbf{Emb}_@(\mathbb{D}^3; \mathbb{D}^4); \}$$

Applications of the two theorems

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$$d = 4 : {}_0\mathbf{Emb}_@(\mathbb{D}^2; M) = {}_1\mathbf{Emb}_@(\mathbb{D}^1; M / \mathbb{G} h^3).$$

- => We classify isotopy classes of 2-disks in 4-manifolds in the setting with a dual.
- => We recover (and generalise) LBT for spheres of Gabai '20 and Schneiderman–Teichner '21.
 - Moreover, we get an (unexpected) group structure on ${}_0\mathbf{Emb}_@(\mathbb{D}^2; M)$! It is usually nonabelian!

$$k = d - 1 : \mathbf{Emb}_@(\mathbb{D}^{d-1}; S^1 \cup \mathbb{D}^{d-1}) \simeq \mathbf{Emb}_@(\mathbb{D}^{d-2}; \mathbb{D}^d)$$

$$d = 4 : {}_0\mathbf{Emb}_@(\mathbb{D}^3; S^1 \cup \mathbb{D}^3) = {}_1\mathbf{Emb}_@(\mathbb{D}^2; \mathbb{D}^4), \text{ cf. Budney–Gabai.}$$

$k = d$: Recovers a theorem (and proof) of Cerf '68: There is a homotopy equivalence $\mathbf{Di}_@^+(\mathbb{D}^d) \simeq \mathbf{Emb}_@(\mathbb{D}^{d-1}; \mathbb{D}^d)$. In particular,

$${}_0\mathbf{Di}_@^+(\mathbb{D}^4) = {}_1(\mathbf{Emb}_@(\mathbb{D}^3; \mathbb{D}^4); \cdot):$$

Open problem

Is ${}_0\mathbf{Di}_@^+(\mathbb{D}^4)$ trivial? Compute it.

See Budney–Gabai, Gay, Watanabe for some candidate diffeomorphisms.

Metastable homotopy groups

Stable, metastable, meta²stable...(?)

A generic smooth immersion $V \rightarrow X^d$ has transverse self-intersections only of multiplicity $n = \frac{d}{2}$.

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A generic smooth immersion $V \rightarrow X^d$ has transverse self-intersections only of multiplicity $n \leq \frac{d}{2}$.

- Whitney '40s: stable range $n < \frac{d}{2}$.
=> $n < 2$ () generically **no double points**.

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- Dax upgraded this to:

$\mathbf{Emb}(V;X) \rightarrow P_2(V;X)$ is $(2d - 3n - 3)$ -connected,

for a certain space $P_2(V;X)$ built out of pairs of points in X .

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- Construct a tower of spaces $P_n(V;X)$, $n \geq 1$, with:

$P_1 = \mathbf{Imm}(V;X)$ and $P_2(V;X) =$ the Haefliger–Dax space.

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=> $n < 2$ (\leq) generically **no double points**.

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- $\mathbf{Emb}(V;X) \looparrowright P_n(V;X)$ is $(nd - (n + 1) \leq (2n - 1))$ -connected (hard!).
- Use homotopy theoretic tools to study $P_n(V;X)$.

About the lowest degree in the metastable range

- Therefore, part 1) in Theorem 2, which said

$$\rho_u: \pi_n(\mathbf{Emb}_@(\mathbb{D}^d; X); U) = \pi_n(\mathbf{Imm}_@(\mathbb{D}^d; X); U) = \pi_{n+d-2}(X); \quad \text{for } 0 \leq n \leq d-2:$$

is just the well-known computation of homotopy groups of immersions, using Smale–Hirsch theory.

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- For $n = d - 2 - 1$ we still have a surjection

$$\pi_{d-2-1} \mathbf{Emb}_@(\mathbb{D}^d; X) \rightarrow \pi_{d-2-1} \mathbf{Imm}_@(\mathbb{D}^d; X) = \pi_{d-1}(X):$$

Dax tells us how to compute its kernel.

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- The desired kernel is the cokernel of \mathbf{Imm} .

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Dax tells us how to compute its kernel.

- Firstly, study the relative homotopy group

$$\pi_{d-2-1}(\mathbf{Imm}(V; X); \mathbf{Emb}(V; X)) = \mathbb{Z}[1/X]_{rel; d}$$

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$$\mathbf{Imm}: \pi_{d-2-1}(\mathbf{Imm}(V; X)) \rightarrow \pi_{d-2-1}(\mathbf{Imm}(V; X); \mathbf{Emb}(V; X))$$

It turns out this is given as the image of a certain homomorphism

$$\mathbf{dax}: \pi_{d-1}(X) \rightarrow \mathbb{Z}[X^{\pm 1}]:$$

- The desired kernel is the cokernel of \mathbf{Imm} .

Theorem [Dax '72]

There is an isomorphism $\pi_{d-2}(\mathbf{Imm}(V; X); \mathbf{Emb}(V; X); u) = \pi_0(C_u; \nu_u)$, the degree 0 normal bordism group of a certain space C_u with a stable normal bundle ν_u over it.

About the lowest degree in the metastable range

Theorem [Dax '72]

There is an isomorphism $\pi_{d-2} \pi_{-1}(\mathbf{Imm}(V; X); \mathbf{Emb}(V; X); u) \cong \pi_0(C_u; \nu_u)$, the degree 0 normal bordism group of a certain space C_u with a stable normal bundle ν_u over it.

Theorem [K-Teichner '22]

There is an isomorphism **Dax**: $\pi_{d-2} \pi_{-1}(\mathbf{Imm}(V; X); \mathbf{Emb}(V; X); u) \cong \mathbb{Z}[\pi_1 X]_{rel; d}$ given as follows:

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Theorem [K-Teichner '22]

There is an isomorphism **Dax**: $\pi_{d-2}(\mathbf{Imm}(V;X); \mathbf{Emb}(V;X); u) \cong \mathbb{Z}[1/X]_{rel; d}$ given as follows: represent a relative class by a “perfect” map

$$F: (I^{d-2} \times I^{d-2} \times \{0\} \cup I^{d-2} \times \{1\} \times I^{d-2}) \rightarrow (I^{d-2} \times I^{d-2} \times I)$$

i.e. F is smooth and its track

$$\mathbb{F}: I^{d-2} \times V \rightarrow I^{d-2} \times X; (t; v) \mapsto (t; F(t; v));$$

has no triple points and double points $(t_i; x_i) \in I^{d-2} \times V$ for $i = 1, \dots, r$ are isolated and transverse.

About the lowest degree in the metastable range

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About the lowest degree in the metastable range

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The realisation map and the Dax invariant

- Moreover, the inverse of **Dax** can be made explicit: for $g \in \mathcal{Z}_1(X, \mathbb{R})$ the relative homotopy class $\mathfrak{r}(g)$ is given by

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- Moreover, the inverse of **Dax** can be made explicit: for $g \in \mathcal{Z}(\mathbb{R}^n)$ the relative homotopy class $\mathfrak{r}(g)$ is given by

- Finally, for $V = \mathbb{D}^n$ we can describe $\mathbf{im}(\mathbf{Imm})$ as $\langle \mathfrak{r}(g) \mid \mathbf{im}(\mathbf{dax}) \rangle$ where

$$\mathbf{dax}: \mathbb{D}^n \times X \rightarrow \mathbb{Z}[\mathbb{R}^n]; \quad \mathbf{dax}(a) = \mathbf{Dax}(\mathfrak{R});$$

where we represent $a \in \mathcal{Z}(\mathbb{D}^n \times X)$ by a map $A: I^{d-2} \rightarrow \mathbb{D}^n \times X$.

The realisation map and the Dax invariant

- Moreover, the inverse of **Dax** can be made explicit: for $g \in \mathcal{Z}(\mathbb{Z}[\mathbb{Z}^n])$ the relative homotopy class $\mathfrak{r}(g)$ is given by

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where we represent $a \in \mathcal{Z}(\mathbb{D}^n \times X)$ by a map $A: I^{d-2} \times \mathbb{D}^n \rightarrow X$.

- We can compute this in many classes of examples! See [K '21].

Thank you!